# Keeping *Dou* as a Simple Distributor\*

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#### Abstract

Against the even analysis of dou, this paper argues that a simple distributor semantics of dou can be maintained with two assumptions: (i) Mandarin sentences allow an optionally overt topic set [12]; (ii) scalar dou-sentences have an optionally overt preposition lian [1, 15].

#### 1 Introduction

The multi-functional particle dou has drawn immense attention from Chinese linguists. The two main uses exemplified in (1) and (2), with dou as a distributor and a focus operator even, respectively, raise an imminent question — what is the semantic core of dou that conditions its distribution?

(1) Tamen dou mai le yi liang che<sup>1</sup> they DOU buy Asp one CL car They each bought a car.

(2)  $\operatorname{Zhangsan}_F$  dou xihuan Lisi  $\operatorname{Zhangsan}$  DOU like Lisi Even  $\operatorname{Zhangsan}$  likes Lisi.

Linguists take two different routes to answer this question. The first route is to treat the distributive use as the core function of dou that subsumes the even reading as a special case [12]. To the contrary of the first, the second route is to analyze dou as even while attributing the distributive reading to a covert dist operator [11]. In this paper, I argue that analyses along the second route are on the wrong track, evidenced by a set of incorrect predictions made by the even analysis of dou. I show that a simple distributor semantics for dou can be maintained with a satisfactory account of its distribution in (1) and (2), if we make two assumptions. First, as a topic prominent language, Mandarin allows an optionally overt topic set in a sentence. Second, the even-sentences with dou have an optionally present preposition lian [1, 15] that makes non-trivial contribution to the scalar reading.

# 2 Flaws in the even analyses of dou

Mandarin Chinese wh+dou resembles the *indefinite+even* sequences found cross-linguistically. With a clause-mate negation, it gives rise to an NPI reading; with a generic predicate, it has a universal reading. The parallel is illustrated here with a comparison between Mandarin and Hindi<sup>2</sup>, as in (3) - (4).

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<sup>&</sup>lt;sup>1</sup>As [10] points out, dou does not require to distribute down to atoms. I use each here for simplicity.

<sup>&</sup>lt;sup>2</sup>All Hindi examples are from [7], glosses his. The same behavior of *indefinite+even* sequence is also found in Japanese, Korean, Bangla, Malayalam and many more languages. Due to space constraint, I only present Hindi examples in this paper for illustration.

- (3) a. shei dou mei lai who dou neg come No one came.
- (4) a. koii bhii nahiiN aayaa someone even not come No one came.
- (5) a. yi ge ren dou mei lai one CL person DOU NEG come No one came.
- (6) a. ek bhii aadmii nahiiN aayaa one even not come

  No one came.

- b. shei dou keyi taiqi zhe zhang zhuozi who DOU can lift this CL table Anyone can lift this table. (Chinese)
- b. tum to kuch bhii kah dete ho you PRT something even say You say anything. (Hindi)
- b. yi ge ren dou keyi taiqi zhe zhang zhuozi one CL p DOU can lift this CL table Even one person can lift this table. (Chinese)
- b. ek bhii cingaarii ghar-ko jalaa detii hai one even spark house burns
   Even one spark burns the house. (Hindi)

The same alternation between an NPI and a universal reading is also exhibited by minimizers one NP+dou and one NP+even in Mandarin and Hindi, respectively, in (5)-(6). Given the similarities between Hindi indefinite+even and  $one\ NP+even$ , Lahiri [7] proposes to reduce the former to the latter. Specifically, an indefinite like 'someone' introduces a variable satisfying the cardinality predicate **one** and the NP restriction, i.e.  $one(x) \land person(x)$ . In (4a), an existential closure closes off the the variable introduced by koii. The negation turns the existential statement into a negative one, serving as the prejacent of the focus operator bhii. Bhii activates the alternatives of its associate koii, each with **one** replaced with a different cardinality predicate two, three, etc. Moreover, bhii imposes two implicatures. The additive implicature says there is at least one true alternative distinct from the prejacent. The least likelihood implicature says the prejacent is the least likely one among its alternatives. The formal representation of the prejacent, the alternative set and the two implicatures are given in (7). Since the prejacent logically entails all other alternatives, the two implicatures are satisfied as long as the prejacent is true.

- (7) a. Assertion:  $a = \neg \exists x [\mathbf{one}(x) \land came(x)]$ 
  - b. ALT =  $\{\neg \exists x [\mathbf{P}(x) \land came(x)] \mid \mathbf{P} \in \{two, three, four...\}\}$
  - c. Additive implicature:  $\exists q \in ALT[q \neq a \land q]$
  - d. Least likelihood implicature:  $\forall q \in ALT[q \neq a \rightarrow a <_{likelihood} q]$

In a generic sentence (4b), Lahiri gives the same kind of semantics to *kuch bhii*. The generic operator binds the variable introduced by *kuch*, which locates in the restrictor of the universal generic quantifier, a downward-entailing environment. The prejacent, again, logically entails all the other alternatives. The two implicatures are thus satisfied as long as the prejacent is true.

Lee and Horn [8] have very similar ideas as Lahiri, who argue that English NPI  $any\ NP$  is underlyingly  $one\ NP+even$  in negative sentences. In generic sentences, however, they argue that any is a superlative plus even, motivated by an observation made by Fauconnier [3] that (8) and (9) mean the same.

- (8) Alfred will eat any food.
- (9) Alfred will eat the most awful food.

Given the resemblance between Chinese wh+dou and Hindi indefinite+even, it is tempting to carry over the aforementioned two theories to the Chinese data. Despite the temptation, however, I will show that their theories make incorrect predictions on the Chinese data.

Another recent even theory of dou is proposed by Liu [11], who assumes the same semantics for dou as that given by Karttunen and Peters [5] to English even, (10). The even-sentences with dou are thus captured without ado.

(10) 
$$\llbracket dou \rrbracket = \lambda p : \forall q [q \in ALT(p) \rightarrow q <_{likely} p].p$$

As for a distributive sentence like (1), Liu assumes a covert dist operator [14] is present. The alternatives are formed by replacing the plurality associated with dou with its subparts. The prejacent logically entails all other alternatives, as shown in Figure 1, making the least likelihood automatically satisfied, wherefore the even flavor of dou is not sensed. I will show that this theory also makes incorrect predictions.

### 2.1 Problems with Lahiri's theory

Reducing wh+dou to one NP+dou in Chinese cannot explain their different grammatical status in (11) or (12) as an NPI. When Lisi forgot to count the cardinality of the students, (11b) is a grammatical complaint but (11a) is not. When Lisi held his debut in his hometown instead of any big city, (12a) is a grammatical description but (12b) is not.

- (11) a. \*Lisi shei dou mei shu Lisi who DOU NEG count Lisi didn't count anyone.
- b. Lisi yi ge ren dou mei shu
   Lisi one CL person DOU NEG count
   Lisi didn't count even one person.
- (12) a. Lisi shouyan na ge dachengshi dou mei ban. Ta laojia ban de Lisi debut which CL big city DOU NEG hold. He hometown hold SFP Lisi didn't hold his debut in any big city. He held it in his hometown.
  - b. \*Lisi shouyan yi ge dachengshi dou mei ban... Lisi debut one CL big city DOU NEG hold Lisi didn't hold his debut in even one big city...

Moreover, wh+dou and one NP+dou behave differently in their universal uses. When discussing who can be the President, (13a) is a grammatical statement but (13b) is not. When evaluating the weight of a table, (14b) is a grammatical report but (14a) is not.

- (13) a. shei dou keyi dang zongtong who DOU can be president Anyone can be the President.
- b. \*Yi ge ren dou keyi dang zongtong one CL person DOU can be president Even one person can be the President.
- (14) a. \*shei dou zugou taiqi zhe zhang zhuozi who DOU sufficient lift this CL table Anyone is sufficient to lift this table.
  - b. yi ge ren dou zugou taiqi zhe zhang zhuozi one CL person DOU sufficient lift this CL table Even one person is sufficient to lift this table.

Lahiri's theory that treats wh+dou and one NP+dou as semantically equivalent fails to explain their different distribution in the above examples.

#### 2.2 Problems with Lee and Horn's theory

Lee and Horn [8] account for the NPI use of English any in the same spirit as Lahiri [7], analyzing any as underlyingly indefinite + even argued to be semantically equivalent to the minimizer one NP + even. The same problem plaguing Lahiri's theory on the NPI use thus carries over. As for the generic use, Lee and Horn take any as a superlative plus even, a theory that has its own problem.

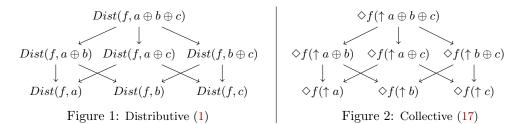
- (15) a. Any set is a subset of itself.
- b. \*Even the biggest set is a subset of itself.
- (16) a. shei dou you shengmu who DOU have biological mom Anyone has a biological mother.
  - b. \*zuilaode ren dou you shengmu
     oldest pers. DOU have biological mom
     Even the oldest one has a biological mother.

(15a) states an axiom in Mathematics. The satisfaction of the predicate only has to do with the property of being a set, without activating any kind of scalar relationship between the sets. Actually, an attempt to impose such a scale will fail, (15b). Likewise, the predicate 'has a biological mother' only concerns the NP restriction *person* of *who* in (16) without reference to any scale. Hence the contrast between (16a) and (16b).

### 2.3 Problem with Liu's theory

Liu [11] ascribes the absence of the *even* flavor in distributive *dou*-sentences to the automatic satisfaction of the least likelihood presupposition imposed by *dou*. This analysis predicts the *even* flavor of *dou* to be subdued whenever the prejacent logically entails all other alternatives. While it seems to be true in the distributive (1), the prediction is not borne out in a collective sentence (17), even if the latter exhibits the same logical entailment relations between the prejacent and the alternatives as the former, see Figure  $2^3$ .

(17) Yuehan, Mali he Bi'er yiqi dou keyi ji jin zhe ge hezi, geng bu yong John Mary and Bill together DOU can squeeze into this CL box, more NEG need shuo Yuehan he Mali liang ge ren le say John and Mary two CL people SFP EVEN J, M and B together can squeeze into the box. Let alone the two of J and M.



#### 2.4 Interim conclusion

Analyzing dou as even obligates a scalar relationship between the alternatives triggered by the focus associate of dou. This requirement, however, clashes with the unordered set denoted by a singular wh-indefinite in wh+dou constructions. In the NPI use, this clash is obscured when the predicate is distributive (3a), but is foregrounded when the predicate is collective relative to the indefinite (11a). In the universal use, this clash is also veiled by a distributive predicate (3b), but is spotlighted when the predicate comes with an obligatory scalar requirement on the argument indefinite (14b). Reducing wh-indefinite+dou to a scalar phrase+dou, be it a minimizer or a superlative, therefore, cannot explain the drastic differences in their compatibility/incompatibility with the same predicate.

 $<sup>^3</sup>f$  in Figure 1 and Figure 2 refers to the predicate in the respective sentences.

The distinction between Chinese wh+dou and one NP/superlative+dou is also corroborated by an old observation in English by Heim [4], who classified NPIs into ones with an inherent even and ones without. The former type includes any/ever and the latter includes minimizers one NP+even. Heim shows that minimizers are only grammatical in sentences that facilitate the satisfaction of their scalar implicatures, but any does not have such requirement. Hence the contrast between (18a) and (18b).

- (18) a. ??Every restaurant that charges so much as a dime for iceberg lettuce happens to have four stars in the handbook.
  - b. Every restaurant that advertises in any of these papers happens to have four stars in the handbook.

Since wh+dou behaves in line with English any in its NPI and universal use, the conclusion drawn by Heim [4] casts further doubt on the even analyses of dou. In light of all the incorrect predictions made by the current even theories of dou and the corroboration from English data, I argue that the even analyses of dou are on the wrong track.

## 3 Dou as a simple distributor

I propose that dou can be treated as a simple distributor once we make two assumptions, both having been argued for elsewhere by other linguists. First, Mandarin Chinese allows a covert topic set present in sentences [12]. Second, the *even*-sentences with dou always have a semantically active preposition lian, overt or covert [15]. The semantic entry for dou in this proposal is as in (19).

(19) 
$$[dou]^g = \lambda P_{\tau t} \lambda Q_{\tau t} . \forall x [Q(x) \to P(x)]^4$$

#### 3.1 The distributive sentences with dou

Chinese subjects are moved to the topic position by default [9], shown by the felicitous insertion of topic markers between the subject and the predicate (20). Moreover, with contextual support, it's free to drop the topic (21), wherefore the semantic equivalence of the following two sentences in the given context.

Context: You ask John whether the students have come for class. John answers:

- (20) Xueshengmen a/na dou lai le student TOP DOU come SFP Students have all come.
- (21) dou lai le
  DOU come SFP
  Students have all come.

In a simple distributive sentence like (1), dou distributes over the subject topic they. Suppose they denotes the set of John and Mary. We get the truth condition in (22) accordingly. When the topic set is covert whose value is determined by the context like (21), dou does the same job. Suppose the covert topic has an index j, we get the truth condition in (23) accordingly.

- (22)  $\llbracket (1) \rrbracket^g = 1 \text{ iff } \forall x [x \in \{j, m\} \to \exists y [car(y) \land bgt(y)(x)] \rrbracket$
- (23)  $[(21)]^g = 1 \text{ iff } \forall x [x \in g(j) \to come(x)]$

<sup>&</sup>lt;sup>4</sup>As pointed out before, dou does not require to distribute down to atoms [10]. Here, I give this entry to simplify the illustration. A more rigorous entry is as in (i), where C is a cover on the plurality associates with dou in the sense of Schwarzschild [14].

i  $\llbracket dou \rrbracket^g = \lambda P_{\tau t} \lambda Q_{\tau t} . \forall x [Q(x) \land C(x) \to P(x)]$ 

The composition of distributive sentences with dou is quite straightforward. We now turn to the scalar even sentences with dou.

#### 3.2 The even sentences with dou

In an *even* sentence with *dou*, the topic set is again optionally overt. Moreover, a preposition *lian* literally meaning 'including' that precedes the focus associate is optionally present as well. As a result, the following four sentences all mean the same in the given context.

Context: John thinks Mary is the most popular student in their class because Bill, the aloofest student, likes her.

- (24) tamenban lian  $\operatorname{Bi'er}_F$  dou xihuan Mali they class LIAN Bill DOU like Mary (In their class), even Bill likes Mary.
- (26) lian  $Bi'er_F$  dou xihuan Mali LIAN Bill DOU like Mary (In their class), even Bill likes Mary.
- (25) tamenban Bi'er $_F$  dou xihuan Mali they class Bill DOU like Mary (In their class), even Bill likes Mary.
- (27) Bi'er $_F$  dou xihuan Mali Bill DOU like Mary (In their class), even Bill likes Mary.

Since dou is not even, as we have concluded, the semantic contribution of even is naturally shifted to  $lian^5$ . I propose a semantic entry for lian as in (28). Specifically, its semantics is broken down to three ingredients. I name them likelihood presupposition, membership condition and property condition respectively.

(28) 
$$\underbrace{ \begin{bmatrix} lian \end{bmatrix}^w = \lambda x \lambda Y \lambda P :}_{\forall z [(z \in (\text{F-ALT}(x) \cap Y) \land z \neq x) \rightarrow \lambda w'.P_{w'}(Y \setminus \{x\}) >_{likely} \lambda w'.P_{w'}(Y \setminus \{z\})]}_{\text{likelihood presupposition}} \underbrace{x \in Y}_{\text{membership condition}} \land \underbrace{P_w(Y)}_{\text{property condition}}$$

Take (24), whose logical form is given in Figure 3 for example. Suppose 'their class', the topic set, denotes the set of students  $\{john, mary, bill, sue\}$ . The focus alternatives F-ALT(John) is the set of all elements of the same type as John, i.e.  $D_e$  [13]. The topic set confines the quantificational domain of lian to the members in it only.

The composition of (24) is shown in (29).

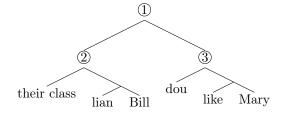


Figure 3: LF of (24)

(29) a. 
$$[3]^{w,g} = \lambda Q. \forall x [x \in Q \to like(w)(m)(x)]$$
 b. 
$$[2]^{w,g} = \lambda P :$$
 
$$\forall z [(z \in \{j,m,s\}) \to \lambda w'. P_{w'}(\{j,m,s\}) >_{likely} \lambda w'. P_{w'}(\{j,m,b,s\} \setminus \{z\})].$$
 likelihood presupposition 
$$b \in \{j,m,b,s\} \land P_w(\{j,m,b,s\})$$
 membership condition property condition

<sup>&</sup>lt;sup>5</sup>A reviewer has brought to my attention a recent thesis by Zhao [16], who also argues to shift the *even* contribution to *lian*. A comparison between the current theory and his analysis will have to be left for another occasion.

The membership condition says Bill is a member of the topic set of students. The property condition says dou-VP holds of the topic set, i.e. every student in the topic set likes Mary. We deduce from the two conditions that Bill likes Mary. The likelihood presupposition says for every other student z distinct from Bill in the topic set, the likelihood that dou-VP holds of the topic set with Bill subtracted from the set is higher than the likelihood that dou-VP holds of the topic set with z subtracted from it. If z is Sue, the likelihood presupposition is reduced to (30), i.e. the likelihood that Sue likes Mary is greater than the likelihood that Bill likes Mary. Iterating through all the other students will lead to the conclusion that Bill is the least likely one among the students to like Mary.

$$\begin{aligned} (30) \quad & [\forall y \in \{j,m,b,s\} \backslash \{b\}[like(m)(y)]] >_{likely} [\forall y \in \{j,m,b,s\} \backslash \{s\}[like(m)(y)]] \\ &= [like_{w'}(m)(j) \wedge like_{w'}(m)(m) \wedge like_{w'}(m)(s)] >_{likely} \\ & \quad [like_{w'}(m)(j) \wedge like_{w'}(m)(m) \wedge like_{w'}(m)(b)] \\ &= p_j \times p_m \times p_s > p_j \times p_m \times p_b \\ &= p_s > p_b \end{aligned} \qquad \qquad (p_x \text{ stands for the likelihood that } x \text{ likes Mary})$$

The proposed semantics for *lian* can easily explain the *even* sentences with *dou* when the focus associate is a scalar term. In (31), the topic set is the set of time instants that have arrived, a set with the utterance time u as the ending element  $\{...u - \epsilon, ...u\}$ . A covert *lian* is assumed to be present, giving the logical form as in (32). The truth condition derived for this sentence is in (33).

- (31) wudian<sub>F</sub> dou dao le, zenme hai mei hao 5 o'clock DOU arrive Asp, how come still NEG good Even 5 o'clock has arrived. How come you are still not done?
- (32) LF:  $[_{IP}[_{DP}\{...u \epsilon, ...u\} [_{PP}lian 5]][dou [_{VP} has arrived]]]$

The membership condition says 5 o'clock is at least as early as the utterance time. The property condition says the utterance time and all the instants preceding it have arrived. From these two conditions, we know that 5 o'clock has arrived. The likelihood presupposition requires the likelihood of the instants in the topic set with 5 o'clock subtracted having all arrived be higher than the likelihood of the instants in the topic set with any non-5 o'clock instant subtracted having all arrived. This presupposition can only be satisfied when 5 o'clock is itself the utterance time. If 5 is not the utterance time, the likelihood that the instants in the topic set with 5 subtracted have all arrived is the same as the likelihood that the utterance time has arrived (due to the fact that for an instant to have arrived means for this instant and every instant preceding it to have arrived). As a result, for any instant t in the topic set that is distinct from 5, the likelihood presupposition is reduced to (34). If t is not the utterance time,

the likelihood on either side will be the same, both being the likelihood of the utterance time having arrived. If t is the utterance time, the likelihood on the left will be smaller, not greater than the likelihood on the right, as the utterance time is later than any instant in the topic set with itself subtracted. In other words, when 5 is not the utterance time, the likelihood presupposition cannot be satisfied for any instant t distinct from 5, be it the utterance time or not.

(34) 
$$\lambda w'.arr_{w'}(u) >_{likely} [\forall y \in \{..., u\} \setminus \{t\} [\lambda w'.arr_{w'}(y)]]$$

If 5 is the utterance time, however, t has to be an instant preceding 5. The likelihood presupposition for any t is accordingly reduced to (35). A later instant having arrived entails any earlier instant having arrived, thus is less likely. Since the utterance time 5 o'clock is later than any instant in the topic set with 5 subtracted, the presupposition is satisfied in this case.

$$(35) \quad [\forall y \in \{..., 5\} \setminus \{5\} [\lambda w'.arr_{w'}(y)]] >_{likely} [\lambda w'.arr_{w'}(5)]$$

I have shown that we can keep dou as a simple distributor with the semantics in (19), as long as two assumptions are adopted. First, Mandarin Chinese allows a covert topic set. Second, in even sentences with dou, a focus operator lian is always present, covertly or overtly. These two assumptions have been argued for in previous literature and are not concocted specifically for the current proposal on dou.

### 4 Discussion

Taking dou as a distributor in (19) necessarily implements a universal quantification over the topic set. Recall that in (24), the property condition imposed by lian requires that every student in the class like Mary. Two reviewers wonder whether the universal quantification is overly strong, considering especially the fact that linguists [2, 5, 6] have encoded the quantification in the presupposition rather than the assertion of English even. Moreover, a sentence like (36) seems to be felicitous in a context where the speaker expresses her surprise that other people who are more punctual than John are late.

(36) Even John has arrived. How come the other people are still not here?

I do not have a satisfactory answer to this question yet and leave it for future research<sup>6</sup>.

Another reviewer raises the question of how to account for a free choice sentence with dou (37) using the current proposal. While a complete discussion of the free choice wh+dou construction in Chinese is beyond this paper, I give the main idea of how the proposed theory will explain (37) here.

(37) Yuehan huozhe Mali dou keyi dang zongtong
John or Mary DOU can be president
John can be the president and Mary can be the president.

The disjunctive marker or forms a set out of its flanking arguments. The subject topic in (37), therefore, is a set of John and Mary  $\{j, m\}$ . The VP that dou takes as its first input is a modal one — 'can be the president', which is interpreted as a set of individuals, with each individual being the president in an epistemically accessible world, formally represented in (38). Dou then claims that each member in the subject topic set is in the set denoted by the VP, giving a truth condition as in (39).

<sup>&</sup>lt;sup>6</sup>Rooth [13] treats the quantification of the alternatives as part of the conventional implicature of *even*, similar to my stance in this paper. However, he argues for an existential quantification rather than a universal one.

- (38)  $\lambda x. \exists w'[w']$  is epistemically accessible to  $w_{\otimes} \wedge President(x)$  in w'
- (39) [(37)] = 1 iff  $\forall x[x \in \{j, m\} \to \exists w'[w' \text{ is epistemically accessible to } w_{@} \land President(x) \text{ in } w']]$

Still another question from the reviewers is why a negation can be inserted before dou in a simple distributive sentence (40), but not in an *even* sentence (41). The answer has two parts. First of all, the negation is a focal negation locating syntactically higher in the structure with an optional focal marker shi after it. Second, the negation follows the topic set. In (40), the topic set is overt but in (41) it is covert. An analogous *even* sentence to be compared to (40) should be the grammatical (42) rather than the ungrammatical (41).

- (40) Tamenban bu (shi) dou xihuan Mali (41) \*Lian Yuehan bu dou xihuan Mali they class NEG FOC DOU like Mary LIAN John NEG DOU like Mary. It's not that even John likes Mary.
- (42) (tamenban) bu (shi) lian Yuehan dou xihuan Mali their class NEG FOC LIAN John DOU like Mary Among their class, it is not the case that even John likes Mary.

#### 5 Conclusion

This paper discusses the multi-functional particle dou in Mandarin Chinese. Specifically, I argue to keep dou as a simple distributor. The recent even theories of dou have been shown to make incorrect predictions on the (un)grammaticality of the NPI and universal use of wh+dou constructions with certain predicates as well as on the presence/absence of the even flavor of a dou-sentence. Adopting two assumptions that have been argued for in Mandarin — Mandarin Chinese allows a covert topic set and even-sentences in Mandarin allow an optionally overt focus operator lian — I propose a simple distributor semantics of dou and shift the labor of even to the focus operator lian. The proposal is able to capture the distributive and even sentences with dou. The question of whether it is too strong to make a universal assertion in the even sentences with dou that the predicate holds of all the members in the topic set is left for future research.

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